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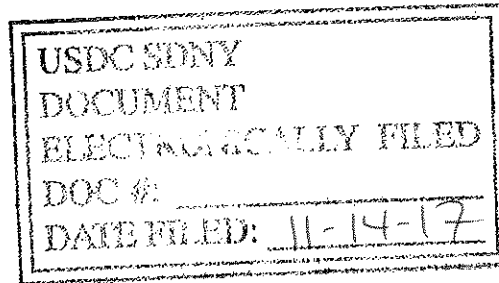
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MEMO ENDORSED

November 14, 2017

Via CM/ECF

Honorable Denise L. Cote
United States District Court
Southern District of New York
500 Pearl Street, Room 1610
New York, NY 10007



Re: *Alpine Securities Corporation adv. United States Securities and Exchange Comm.*
Civil No. 1:17-cv-04176-DLC-RLE

Dear Judge Cote:

Plaintiff the Securities and Exchange Commission ("SEC") and Defendant Alpine Securities Corporation ("Alpine") have conferred regarding a briefing schedule for the summary judgment practice discussed during the November 2, 2017 hearing with the Court, and hereby submit the following stipulated schedule for approval by the Court:

1. The SEC may file a Motion for Partial Summary Judgment with exemplar SARs on or before December 4, 2017.
2. Alpine may file an Opposition to SEC's Motion for Partial Summary Judgment, and any Cross-Motion for Summary Judgment, on or before January 12, 2018.
3. The SEC may file a Reply Memorandum in Support of its Motion for Partial Summary Judgment, and an Opposition to any Cross-Motion for Partial Summary Judgment filed by Alpine, on or before January 26, 2018.
4. Alpine may file a Reply Memorandum in Support of its Cross-Motion for Summary Judgment on or before February 9, 2018.

S. ordered

Denise Cote
11/14/17

Respectfully submitted,

/s/ Zachary T. Carlyle

Zachary T. Carlyle (*pro hac vice*)

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cc: Counsel of Record (via CM/ECF)